

Minutes of the third meeting of the eleventh triennium of the Audit, Risk and Investment Committee held at 3.00pm on Tuesday 22 September 2020, in the Tararua Room, Horizons Regional Council, 11-15 Victoria Avenue, Palmerston North.

PRESENT Crs AL Benbow (Chair), EM Clarke, RJ Keedwell, SD Ferguson, JM Naylor, NJ Patrick, and GJ Turkington

IN ATTENDANCE Councillors B Gordon
Chief Executive Mr MJ McCartney
Committee Secretary Mrs KA Tongs

ALSO PRESENT At various times during the meeting:

Mr C Grant (Group Manager Corporate and Governance),
Mr G Shirley (Group Manager Regional Services & Information),
Dr J Roygard (Group Manager Natural Resources & Partnerships),
Dr N Peet (Group Manager Strategy & Regulation), Mr R Strong
(Group Manager River Management), Mr A Smith (Chief Financial
Officer), Mr E Lloyd (Senior Health & Safety Officer), Mr S Mancer,
Ms N Anderson and Ms C Holdsworth (Management Accountants).

The Chair welcomed everyone to the meeting.

APOLOGIES

Apologies were received from Crs Cotton and F Gordon.

PUBLIC FORUMS / DEPUTATIONS / PETITIONS

There were no requests for public speaking rights.

SUPPLEMENTARY ITEMS

ARI 20-6 *Moved* **Turkington/Naylor**

That the Council receives Report no. PX20-140 Risk Register Update, as a supplementary item.

CARRIED

MEMBERS' CONFLICTS OF INTEREST

There were no conflicts of interest declared.

CONFIRMATION OF MINUTES

ARI 20-7 *Moved* **Turkington/Keedwell**

That the Committee:

confirms the minutes of the Audit, Risk and Investment Committee meeting held on 25 August 2020 as a correct record, and notes that the recommendations were adopted by the Council on 22 September 2020.

CARRIED

DRAFT ANNUAL REPORT FOR 2019-20 FINANCIAL YEAR

Report No 20-138

Mr Smith (Chief Financial Officer) introduced the report which presented the draft Annual Report for the 2019-20 financial year to Members ahead of the annual Audit. Mr Smith noted the year-end adjustments which had been completed since the June Interim Financial Performance report.

ARI 20-8 Moved Naylor/Keedwell

That the Committee recommends that Council:

- a. receives the information contained in Report No. 20-138.

CARRIED

PROCEDURAL MOTION TO EXCLUDE THE PUBLIC

ARI 20-9 Moved Benbow/Naylor

THAT the public be excluded from the following part(s) of the proceedings of this meeting. The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution follows.

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by section 6 and section 7 of that Act which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public, as follows:

CARRIED

| General subject of each matter to be considered | Reason for passing this resolution | Ground(s) under section 48(1) for the passing of this resolution |
|---|--|---|
| PX1 Confirmation of Public Excluded Meeting held on 25 August 2020 | s7(2)(h) - the withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities. | s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7. |
| PX2 Council / Committee to consider whether any item in the Public Excluded minutes can be moved into the public domain and define the extent of the release | | |

The meeting adjourned to the Public Excluded part of the meeting at 3.17pm and resumed at 3.54pm.

During the public excluded part of the meeting, recommendation PX ARI 20-10 agreed to release the following information from the Audit, Risk & Investment Committee public excluded minutes of 25 August 2020 into the public domain:

FINANCIAL PERFORMANCE FOR THE YEAR ENDING 30 JUNE 2020

Report No PX20-113

Mr Smith (Chief Financial Officer) introduced the report which informed Members of Council of the financial performance for the year ended 30 June 2020 against Council's revised budgets. Revised budgets included the Council approved end of year carry forwards along with any Council resolutions approving the use of reserves.

PX ARI 20-3 Moved Keedwell/Turkington

That the Committee recommends that Council:

- a. *receives the information contained in Report No. PX20-113 and Annex.*

CARRIED

COVID-19 UPDATE / DISCUSSION

The Chief Executive gave a brief update on Horizons' readiness to change Covid-19 alert levels if needed.

The meeting closed at 3.55pm.

Confirmed

CHIEF EXECUTIVE

CHAIR